

Dkt. 2271/76656

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

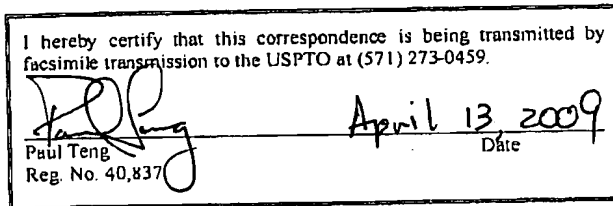
Application of: Junji NISHIDA

Serial No.: 10/587,622

Date Filed: July 26, 2006

For: POWER-SUPPLY APPARATUS

Group Art Unit 1858

Examiner: Bao Q. Vu **APR 18 2009****PCT LEGAL  
ADMINISTRATION**30 Rockefeller Plaza, 20<sup>th</sup> Floor  
New York, NY 10112  
(212) 278-0400

**PCT Legal Division**  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450  
**Fax: (571) 273-0459**

**SECOND REQUEST FOR CORRECTED FILING RECEIPT**

Sir:

A Request For Corrected Filing Receipt was previously submitted on November 7, 2008 requesting correction of the Assignment For Published Patent Application, on the official Filing Receipt dated June 3, 2008 issued by the U.S. Patent and Trademark Office in connection with this application. A Corrected Filing Receipt was mailed by the Patent Office on November 12, 2008 (copy attached hereto as **Exhibit A**). The above-mentioned error for which correction was sought in the November 7, 2008 Request For Corrected Filing Receipt, was not addressed by the November 12, 2008 Corrected Filing Receipt.

Applicant respectfully requests that the official Filing Receipt be corrected to reflect the Assignment For Published Patent Application as follows:

**RICOH COMPANY, LTD., TOKYO, JAPAN.**

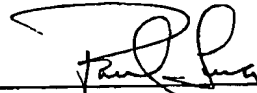
Junji NISHIDA, S.N. 10/587,622  
Filed: July 26, 2006  
Page 2

Dkt. 2271/76656

The November 12, 2008 Corrected Filing Receipt attached hereto as Exhibit A erroneously lists the Assignment For Published Patent Application as BOSCH REXROTH AG, STATTGART, GERMANY.

Attached hereto as **Exhibit B** is a copy of the Notice of Recordation Of Assignment Document issued by the Patent Office indicating that the correct Assignee is RICOH COMPANY, LTD., TOKYO, JAPAN.

Respectfully submitted,



Date: April 13, 2009

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PAUL TENG, Reg. No. 40,837  
Attorney for Applicant  
Cooper & Dunham LLP  
30 Rockefeller Plaza, 20<sup>th</sup> Floor  
New York, N.Y. 10112  
Tel.: (212) 278-0400

# **EXHIBIT A**

to  
SECOND REQUEST FOR CORRECTED FILING RECEIPT  
(Serial No. 10/587,622)



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

APPLICATION NUMBER	FILING or 371(c) DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	TOT CLAIMS	IND CLAIMS
10/587,622	07/26/2006	2838	1100	2271/76656	10	4

CONFIRMATION NO. 3571

## CORRECTED FILING RECEIPT



OC000000033064403

Date Mailed: 11/12/2008

23432  
 COOPER & DUNHAM, LLP  
 1185 AVENUE OF THE AMERICAS  
 NEW YORK, NY 10036

Receipt is acknowledged of this non-provisional patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please submit a written request for a Filing Receipt Correction. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections**

## Applicant(s)

Junji Nishida, Kanagawa, JAPAN;

## Assignment For Published Patent Application

BOSCH REXROTH AG, STATTGART, GERMANY

## Power of Attorney:

Christopher Dunham--22031

Ivan Kavrukov--25161

William Pelton--25702

Peter Phillips--29691

Richard Jaworski--33515

Richard Milner--33970

Wendy Miller--35615

Robert Maldonado--38232

Paul Teng--40837

## Domestic Priority data as claimed by applicant

This application is a 371 of PCT/JP2005/002951 02/17/2005

## Foreign Applications

JAPAN 2004-041529 02/18/2004

If Required, Foreign Filing License Granted: 05/31/2008

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US 10/587,622**

Projected Publication Date: Not Applicable

Non-Publication Request: No

Early Publication Request: No

**Title**

Power-Supply Apparatus

**Preliminary Class**

323

**PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES**

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

**LICENSE FOR FOREIGN FILING UNDER****Title 35, United States Code, Section 184****Title 37, Code of Federal Regulations, 5.11 & 5.15****GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as

set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

#### **NOT GRANTED**

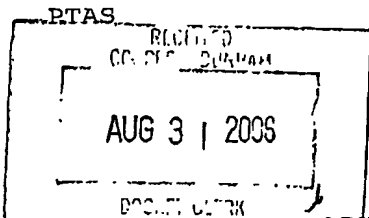
No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

# **EXHIBIT B**

to  
SECOND REQUEST FOR CORRECTED FILING RECEIPT  
(Serial No. 10/587,622)

**UNITED STATES PATENT AND TRADEMARK OFFICE**UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND  
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

AUGUST 23, 2006

IVAN S. KAVRUKOV, ESQ.  
COOPER & DUNHAM LLP  
1185 AVENUE OF THE AMERICAS  
NEW YORK, NY 10036**UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT**

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 571-272-3350. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, MAIL STOP: ASSIGNMENT SERVICES BRANCH, P.O. BOX 1450, ALEXANDRIA, VA 22313.

RECORDATION DATE: 07/26/2006

REEL/FRAME: 018157/0150  
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:  
NISHIDA, JUNJI

DOC DATE: 07/12/2006

ASSIGNEE:  
RICOH COMPANY, LTD.  
3-6, NAKAMAGOME 1-CHOME  
OHTA-KU  
TOKYO, JAPAN 143-8555SERIAL NUMBER: 10587622  
PATENT NUMBER:  
TITLE: POWER-SUPPLY APPARATUSFILING DATE:  
ISSUE DATE:



018157/0150 PAGE 2

SHARON LATIMER, EXAMINER  
ASSIGNMENT SERVICES BRANCH  
PUBLIC RECORDS DIVISION

FORM PTO-1597 (Substituted)

08-09-2006

10/587622

(Rev. 8-98)

To the Honorable Commissioner of Patents and

1. Name of conveying party(ies):

Junji NISHIDA

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

3. Nature of Conveyance:

☒ Assignment ☐ Merger  
☐ Security Assignment ☐ Change of Name  
☐ Other

Execution Date(s): July 12, 2006

Name: Ricoh Company, Ltd.

Internal Address:

Street Address: 3-6, Nakamagome 1-chome,

Ohta-ku

City/State/Zip: Tokyo, 143-8555, JAPAN

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date(s) of the application is (are): July 12, 2006

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Ivan S. Kavrukov, Esq.

Internal Address:

Street Address: Cooper &amp; Dunham LLP

1185 Avenue of the Americas

City/State/Zip: New York, New York 10036

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR \$3.41): \$ 40.00

☒ Enclosed☐ Authorized to be charged to deposit account

8. Deposit account number:

03-3125

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Paul Teng, Reg. No. 40,837  
Name of Person Signing

Signature

July 26, 2006  
Date

Total Number of pages including cover sheet, attachments and document: 3

OMB No. 0851-0011 (exp. 4/94)

Mail documents to be recorded with required cover sheet information to:  
 Mail Stop Assignment Recordation Services  
 Director of the United States Patent and Trademark Office  
 P.O. Box 1450  
 Alexandria, VA 22313-1450

08/07/2006 ATRAN1 00000036 10587622

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(40.00 OP)

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\*\*\* RX REPORT \*\*\*  
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RECEPTION OK

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